

New Entrant Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (January 2018)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Finnegan, Troy W

Executive Secretary, Department of Labor

Date of Appointment: 01/23/2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Finnegan, Troy W [electronically signed on 02/20/18 by Finnegan, Troy W in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sadler, Robert M, Certifying Official [electronically signed on 03/29/18 by Sadler, Robert M in Integrity.gov]

Other review conducted by

/s/ Mancher, Zachary D, Ethics Official [electronically signed on 03/08/18 by Mancher, Zachary D in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Dr. Phillips, Inc.	Orlando, Florida	Non-Profit	General Counsel	2/2013	1/2018
2	The Dr. P. Phillips Foundation	Orlando, Florida	Non-Profit	General Counsel	2/2013	1/2018
3	The University Club Foundation, Inc.	Orlando, Florida	Non-Profit	President; Trustee	1/2017	12/2017
4	WSHC, LLC	Orlando, Florida	Non-Profit	President	1/2016	12/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dr. Phillips, Inc.	N/A		Salary/Bonus	\$170,259
2	Traditional IRA	No			
3	Vanguard International Growth Fund Investor Shares (VWIGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Vanguard International Value Fund Investor Shares (VTRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	ROTH IRA	No		Early Distribution	\$41,700
6	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	Vanguard Small Capitalization Value Index Fund Investor Shares (VISVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Vanguard Total Bond Market Index Fund Investor Shares (VBMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Vanguard Total Stock Market Index Fund (VTSAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	Vanguard Information Technology ETF (VGT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Dr. Phillips, Inc. 401(k) Plan	No			
12	DFA Five-Year Global Fixed Income Portfolio Institutional Class (DFGBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Deutsche Global Real Estate Securities Fund Class S (RRGTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Dodge & Cox Income Fund (DODIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	Dodge & Cox Stock Fund (DODGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	Goldman Sachs Emerging Markets Debt Fund Institutional Class (GSDIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Harbor International Fund Institutional Class (HAINX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18	MFS Massachusetts Investors Growth Stock Fund Class R6 (MIGNX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19	Oppenheimer Developing Markets Fund Class Y (ODVYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	Vanguard Developed Markets Index Fund Admiral Shares (VTMGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	Vanguard Explorer Fund Admiral Shares (VEXRX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	Vanguard Russell 1000 Growth Index Fund Institutional Shares (VRGWX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
24	Vanguard Small-Cap Value Index Fund Admiral (VSIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	Vanguard Total Bond Market Index Fund Admiral Shares (VBTIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
26	Vanguard Windsor II Fund Admiral Shares (VWNAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Dr. Phillips, Inc.	Orlando, Florida	I will continue to participate in the Dr. Phillips, Inc. 401(k) Plan, a defined contribution plan, but the plan sponsor no longer makes contributions.	3/2014
2	Dr. Phillips, Inc.	Orlando, Florida	I have an informal understanding with Dr. Phillips, Inc. to return to my former "General Counsel" position with the company upon termination of my federal appointment. I will not receive any benefits or other payments from Dr. Phillips, Inc. during my federal service.	1/2018

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Dr. Phillips, Inc.	Orlando, Florida	General Counsel (legal representation)
2	The Dr. P. Phillips Foundation	Orlando, Florida	General Counsel (legal representation)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA	No			
2	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Vanguard International Growth Fund Investor Shares (VWIGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Vanguard Small Capitalization Value Index Fund Investor Shares (VISVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Information Technology ETF (VGT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Alphabet Inc. Class A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Apple Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	FedEx Corporation (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Florida Retirement System (FRS) Pension Plan, a defined benefit plan (value not readily ascertainable); eligible for \$452/mo. at age 65	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank accounts (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	ACS Education Services/ Navient CFS SLT 2004-A	Student Loan	\$15,001 - \$50,000	2004	2.875	30

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART #	ENDNOTE
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